Docket No.: 5F03.1-011

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office To Addressee" in an envelope addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on December 12, 2003.

Express Mail No. EV349859107US

Vanessa M. Lake

APPLICATION FOR LETTERS PATENT UNITED STATES OF AMERICA

TO ALL WHOM IT MAY CONCERN:

Be it known that, *Lundy S. FIELDS* of 6640 Eastleigh Circle, Suwanee, Georgia 30024 and *Jerry L. SHEPHERD* of 130 White Columns Drive, Alpharetta, Georgia 30004, both citizens of the United States of America, have invented new and useful improvements in an

APPARATUS AND METHOD FOR COIN COLLECTION AND ADVERTISING

for which the following is a specification.

GARDNER GROFF, P.C.

Paper Mill Village, Building 23 600 Village Trace, Suite 300 Marietta, Georgia 30067 Tel: (770) 984-2300

Fax: (770) 984-0098

APPARATUS AND METHOD FOR COIN COLLECTION AND ADVERTISING

CROSS-REFERENCE TO RELATED APPLICATIONS

This application claims priority to U.S. Provisional Patent Application Serial Number 60/433,406 by Fields, et al., filed December 13, 2002, which application is hereby incorporated herein by reference in its entirety.

FIELD OF THE INVENTION

5

10

15

20

25

The present invention relates to advertising, promotion and coin collection devices. The invention relates to the partnering of business or commercial entities and charitable institutions, for both collection of charitable donations and business communication efforts.

BACKGROUND OF THE INVENTION

Coin retention devices are known in the art. The most common coin collection device is probably the conventional "piggy bank". A substantial number of variations of these coin holders have been manufactured and sold. One example is shown in U.S. Patent Nos. 4,878,867 and 5,069,645, both issued to Dworman, et al., on Nov. 7, 1989 and Dec. 3, 1991, respectively. The 5,069,645 patent discloses an elongated cylindrical tubular coin bank with a removable cap having a coin slot in the upper part of the cap. Such coin banks are designed for accepting and holding coins, as well as manual coin removal by the owner.

Automated coin sorting and redemption machines are known in the art. Some of the more well known commercial automated sorting and redemption machines are those of Coinstar, Inc. of Bellevue, Washington (U.S.A.). Examples of inventions relating to automated coins counting and sorting are illustrated in U.S. Patent Nos. 6,494,776 B1, issued on Dec. 17, 2002 to J. Molbak and 6,602,125 B2, issued on Aug. 5, 2003 to D. Martin. These inventions are directed to the machinery and related components for automatic coin counting and sorting, as well as coupon dispensing.

Known devices and methods are primarily directed to either the manual collection and storage of coins, or automated coin handling such as counting and sorting. Opportunities for the alignment and synergy of these processes, as well as interrelations with other business opportunities, such as commercial marketing and charitable donations have not been resolved in the art.

SUMMARY OF THE INVENTION

5

10

15

20

25

An object of example forms of the invention is to provide a means for collecting money for charitable institutions that simultaneously provides value to commercial entities such that a portion or all of the operating costs will be funded by the commercial entity.

Another object of example forms of the invention is to provide a means for simultaneously collecting coins, sampling products and advertising for services or products.

A further object of example forms of the invention is to provide a means for simultaneously collecting coins, sampling products and promoting products or services.

Yet another object of example forms of the invention is to provide a means for simultaneously collecting coins and advertising for services or products.

Still another object of example forms of the invention is to increase the rate of circulation of coins, thereby reducing the rate at which new coins must be minted.

In example embodiments, the present invention is an apparatus for simultaneously collecting and retaining coins and advertising or promoting a commercial product or services as well as advertising or promoting the operations of a charitable entity. The apparatus is a means for retaining coins, preferably cylindrical and lightweight, and includes an opening for receiving deposit of coins. Advertising material or other printed information is displayed on the external surface of the coin collector. The advertising material or contact information is that of a charitable entity and a business or commercial entity.

DESCRIPTION OF THE DRAWING FIGURES

Figure 1 is a perspective view of an apparatus according to an example embodiment of the invention

Figure 2 is a perspective view of a portion of the apparatus of Fig. 1.

Figure 3 is a top view of the apparatus of Fig. 1.

Figure 4 is a bottom view of the apparatus of Fig. 1.

DESCRIPTION OF EXAMPLE EMBODIMENTS

5

10

15

20

25

People typically do not enjoy the handling of coins or metallic monetary change. In particular, in the United States, the vast majority of the coins in circulation are valued at less than one U.S. dollar. This low value and nominal utility, coupled with their weight and discomfort in pockets and purses, result in most coins being taken out of circulation by people leaving them in their homes. Coins can be found in decorative coin collectors, old jars, tin cans and a wide variety of containers in U.S. homes. While the value of individual coins is small, the value of large quantities of accumulated coins is substantial, and the value of millions of households' coin collections is most probably quite substantial. This lack of coin circulation has a negative effect on the economy and causes the government to mint additional coins unnecessarily. Thus, there is a need to find a method of encouraging people to bring coins back into circulation.

Some companies have attempted to capitalize on consumers' lack of desire to roll coins to be re-deposited into the bank. For example, Coinstar, Inc. (Bellevue, Washington, United States) has developed a machine that allows consumers to deposit large quantities of coins and have the coins automatically sorted, with the consumer receiving a large portion of the deposited amount in cash, while Coinstar retains a handling and sorting fee. Consumers are also given the option to donate their coins to charity and walk away with a tax-deductible receipt. These machines can be found in select grocery stores or supermarkets, such as Kroger, typically in the entrance or exit path of the store. However, while Coinstar machines and others like them provide a valuable

service, the percentage of consumers that utilize the machines routinely could be dramatically improved.

The use of coin redemption technology has traditionally been focused on the coin redemption industry. However, widespread distribution of collection and retention devices provides an opportunity for further communication efforts. In particular, products and services may be marketed by coupling commercial business marketing efforts (separate from coin redemption businesses) with coin collection and redemption devices. Today, brand loyalty is at an all-time low. Consumers purchase only if there is a continuing incentive to buy. Advertising and promotion programs have so overwhelmed the consumer that marketing communications are often lost in this overwhelming sea of activity. Even when properly focused, nearly 90% of all direct mail is not even opened by the recipient. Thus, marketers are continually seeking methods of heightening consumer awareness and generating product trials with response rates to justify the investment.

In yet a different world, charitable organizations, such as the American Diabetes Association, are perpetually faced with the challenges of encouraging donations to continue the wonderful community services that they render or facilitate. Avenues for reaching people to tell the story of the charity are always being sought. However, often the charity is forced to reinvest some of the funds collected in order to reach more people. Therefore, there is a need for charities to find new methods of communicating with consumers, as well as finding ways to minimize the costs to the charity of this communication.

The present invention meets some of the needs of the coin redemption industry, the government, charities and marketers in commercial businesses as outlined above. The invention is an apparatus for simultaneously collecting and retaining coins and advertising or promoting a commercial product or services as well as advertising or promoting the operations of a charitable entity. The apparatus is a means for retaining coins, preferably cylindrical and lightweight, and includes an opening for receiving deposit of coins. Advertising material or

other printed information is displayed on the external surface of the coin collector. Advertising or product samples may also be placed inside the container. The advertising material or contact information is preferably that of a charitable entity and a business or commercial entity.

The coin collection and redemption device will include advertising from commercial entities as well as information from at least one charitable organization. In practice, the device will be distributed to consumers, preferably via the U.S. Postal Service or via attachments to regular newspaper delivery. Consumers will open the device and remove the contents, thereby achieving the product sampling or advertising objectives of the commercial entity. Then, over time, consumers will use the device as a coin holder. Preferably, once full, the consumer will bring the device to a coin redemption machine, which will accept the coins and return the consumer with a receipt for a tax-deductible charitable donation.

After the consumer returns the coin collector and coins to the automated counting machine, the machine is preferably designed to provide a receipt to the consumer showing the amount donated. In a preferred embodiment, the consumer will be allowed to elect to receive a portion of the cash back, while donating the selected amount to the designated charity. Further, a receipt will be printed designating the amount donated to the charity, providing the consumer a receipt useful for tax deduction purposes. Optionally, coupons for the commercial entity that sponsored the manufacturer may be printed at the same time of coin deposit from the sorting and counting machine.

Commercial businesses will likely be willing to finance all or most of the costs of producing and distributing the coin collection and retention device, thereby reducing or eliminating the cost of the charitable entity in securing the donations. In addition to the low cost of this collection technique, the charitable entity will benefit by achieving a broader reach into consumer households to disseminate important public service messages and to solicit donations. Moreover, the placement of product samples in this intriguing device better

ensures a consumer will actually open the device and see the charitable literature, in contrast to conventional direct mailing programs.

Commercial entities or companies may utilize the container in a number of ways to generate business. For example, advertising or promotional material, or company contact information, may be printed on the exterior of the container. Similarly, the container may have material inside, such as advertising materials, promotion materials, coupons, or combinations of these materials.

5

10

15

20

25

30

Moreover, the commercial entity benefits merely by the association with a charitable entity, in that the image of the commercial entity is enhanced. In addition to general reputation advantages, consumers are more likely to open a commercial container affiliated with a respectable charity than those that are solely directed to selling more product. Furthermore, associating commercial businesses in a particular field with the charities in that field (e.g., a diabetic blood testing supplier with the American Diabetes Association) may provide even more synergistic advantages.

The coin collection and retaining means is preferably a rigid container 10 for protection of the contents during shipping. More preferably, the container is cylindrical. For example, the container preferably comprises one or more sidewalls 12, extending between a first end 14 and a second end 16. The uniformity of a cylindrical shape lends itself to automated coin processing machines. In addition, uniform cylindrical shapes offer advantages in display as coin containers, storage and distribution. However, the device may be made into a wide variety of shapes, such as conical and cubical. Corporate advertising material 30 and the like, and/or promotional material 32 for charitable entities is preferably displayed, as by printing, labeling or otherwise, in or on the container 10. Material 34, such as advertising materials, promotional materials, coupons, product samples, and the like are optionally placed in or on the container 10.

Typical access to the container may be allowed via a single opening 20 having a dimension sufficiently sized to comfortably allow coins to be deposited but sufficiently small or appropriately shaped to prevent coins from accidentally

falling out in the event the collector is positioned upside down. The coin insertion opening is preferably a linear centered slot or slit, having a dimension of less than about 3 centimeters in length and less than about 5 millimeters in width.

One end of the coin collection device is preferably removable by the consumer, to allow for the consumer to remove the contents that were in the device as delivered (e.g., commercial advertising materials, charity information, coupons or product samples). In addition, this access allows for removal of coins, for example, to allow access and removal in the event one or more precious coins has been inadvertently placed into the container. In a preferred embodiment, the removable end is a light-weight plastic lid, which is press-fit or interference-fit onto the coin collection and retention device, thereby allowing for easy removal and replacement.

5

10

15

20

25

30

Consumers will typically physically transport their coin collection device to a counting and receiving machine. However, the initial distribution of the coin collection device may occur most efficiently via the U.S. Postal System, or other mail services. Accordingly, the coin collection device is preferably made of materials that are relatively lightweight, while sufficiently durable to retain coins and survive shipping procedures. In one preferred embodiment, the coin collection device weighs less than about 50 grams.

Separate and apart from the weight and transport considerations, the container is preferably manufactured from materials that are either sufficiently durable for reuse or are appropriately designed for recycling after disposal. A preferred container material is spiral wound cardboard, because of low manufacturing costs, shipping costs and sufficient structural support. Other preferred materials include lightweight polymeric materials such polypropylene, polyethylene or polyethylene terephthalate. Optionally, at least a portion of the container is transparent or translucent, to permit observation of how full the container is. The bottom of the container is preferably a light metal, again for structural integrity, as well as initial sound resonance when depositing the first coins.

While it is preferred for consumers to use the coin collection and retention device to collect coins for future deposit into an automated sorting mechanism, some consumers will probably use the container for other purposes. The container will lend itself generally to storage of smaller items, such as pencils, stationary items such as paper clips, personal care products for traveling, and small consumable hardware such as screws, nuts and bolts.

While direct-to-consumer mailing is a preferred avenue of distribution of the containers, other options are also contemplated. For example, the coin collection and retention containers may be distributed at the supermarkets or grocery stores in which coin redemption machines are located. In addition, charitable organizations may distribute the containers at their own events and gatherings. Alternatively, the containers may be distributed to consumer households via hanging bags temporarily affixed to mailboxes or to the front doors of homes.

The previous disclosure will enable one having ordinary skill in the art to practice the invention. The invention has been described in detail, with reference to certain preferred embodiments, in order to enable the reader to practice the invention without undue experimentation. However, a person having ordinary skill in the art will readily recognize that many of the components and parameters may be varied or modified to a certain extent without departing from the scope and spirit of the invention. Furthermore, titles, headings, or the like are provided to enhance the reader's comprehension of this document, and should not be read as limiting the scope of the present invention. Accordingly, the intellectual property rights to this invention are defined only by the following claims and extensions and equivalents thereof allowed under applicable law.